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THE *Magic* OF  $K$ -THEORY  
PT. I

An Introduction to Topological  $K$ -theory

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## THE IDEAS OF $K$ -THEORY...

→ Compact Hausdorff

- Study "nice" spaces by studying vector bundles over them

↳  $K(X)$  is ring of isom. classes of vec. bundles

$\oplus \rightsquigarrow +$

$\otimes \rightsquigarrow \cdot$

↳  $X \mapsto K(X)$  is functorial + represented by  $BU \times \mathbb{Z}$

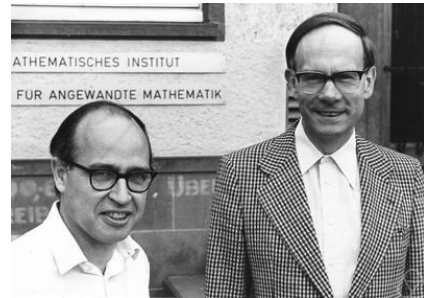
i.e.  $K(X) \cong [X_+, BU \times \mathbb{Z}]_*$

- important thm!! Bott Periodicity (1957)

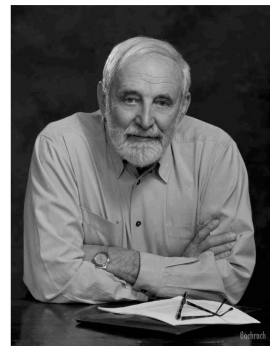
↳ helps compute  $K(X)$  sometimes

↳ extends  $K$  to generalized cohomology theory  
↔  $\Omega$ -spectrum

References: Hatcher's VBKT  
May's Concise Course  
+ others ... see write-up



↑ M. Atiyah (1929-2019) & F. Hirzebruch (1927-2012)  
from AMS



R. Bott  
(1923-2005)  
from NYT

## BRIEF REVIEW OF VECTOR BUNDLES...

Complex

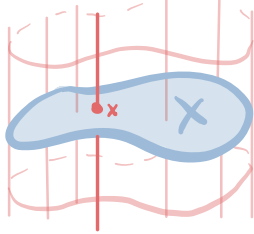
Defn - A vector bundle over  $X$  is  $E \xrightarrow{p} X$  s.t.

(i) fibers  $p^{-1}(x)$  have  $\mathbb{C}$ -v.s. structure

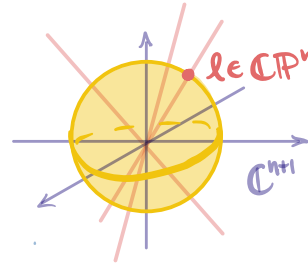
(ii)  $E$  is locally trivial

Exs :

trivial bundle  $\mathcal{E}^n := X \times \mathbb{C}^n$



canonical line bundle  $H := \{(l, v) \in \mathbb{C}P^1 \times \mathbb{C}^{n+1} : v \in l\}$   
(over  $\mathbb{C}P^1$ )



Recall Can "add" and "multiply" V.b. using  $\oplus$  and  $\otimes$   
is associative, commutative, distributive up to nat'l isom.

Idea: turn into ring operations

## HOW DO WE GIVE VEC. BUNDLES A RING STRUCTURE? for fixed $X$

① Work with isomorphism classes: Denote by  $\text{Vect}^{\text{iso}}(X)$

↳ Then properties of  $\oplus$  give commutative monoid structure (gp w/out inverses)

② turn into group "formally adjoin inverses"

Defn - (Univ Prop. of gp Completion) A gp  $G(M)$  is group completion of monoid  $M$  if:  
if  $M \xrightarrow{f} A$  for gp  $A$  then

$$\begin{array}{ccc} M & \xrightarrow{i} & G(M) \\ & \searrow f & \downarrow \hat{f} \\ & & A \end{array}$$

Explicit construction: Grothendieck group

$$\text{Gr}(M) = \text{free gp}\{[m] : m \in M\} / [m+n] - [m] - [n]$$

inclusion  $M \hookrightarrow \text{Gr}(M)$  by  $m \mapsto [m]$ . Inverse of  $[m]$  is  $-[m]$

e.g.  $G(\mathbb{N}) = \mathcal{F}\{[n] : n \in \mathbb{N}\} / [n+m] - [n] - [m] \cong \mathbb{Z}$

Rmk. If  $M$  semi-ring, then  $\text{Gr}(M)$  is ring.  $\text{Vect}^{\text{iso}}(X)$  is semiring:  $\oplus$   
 $\otimes$

## HOW DO WE GIVE VEC. BUNDLES A RING STRUCTURE? (cont.)

Defn The topological K-theory of  $X$  is the ring  $\text{Gr}(\text{Vect}^{\text{iso}}(X))$ , i.e.

$$K(X) := \text{free gp} \left\{ \cong\text{-classes of v.b.} \right\} / [E \oplus E'] - [E] - [E']$$

- an element is "virtual bundle"  $[E] - [E']$ . ← not nec. unique
- zero class is  $[E] - [E]$
- inverse of  $[E] - [E']$  is  $[E'] - [E]$
- Write  $n = [E^n]$ . Every elmt has representation  $[E] - n$ .

$$\hookrightarrow E - n = E' - m \iff n = m, E \oplus E^m \cong E' \oplus E^n$$

- ring structure:  $([E] - n) + ([E'] - m) = [E \oplus E'] - (n + m)$

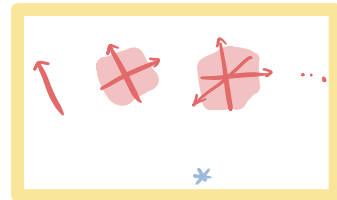
Ex.  $K(*)$

$$([E] - n) \cdot ([E'] - m) = [E \oplus E'] - n[E'] - m[E] + (n + m)$$

①  $\text{Vect}^{\text{iso}}(*) \cong \mathbb{N}$

②  $K(*) = \text{Gr}(\text{Vect}^{\text{iso}}(*)) = \text{Gr}(\mathbb{N}) = \mathbb{Z}$

In fact,  $X \simeq * \Rightarrow K(X) \cong \mathbb{Z}$



# THE FUNCTOR $K$

Defn:  $K(X) = \{E \rightarrow X\} / \sim$  where  $E \rightarrow X \sim E' \rightarrow X \iff E \oplus m \cong E' \oplus n$  for  $n=m$  and  $E \oplus m \cong E' \oplus n$

$K\text{Top} \ni$   
Compact, Hausdorff Top

Defn/Rmk. The assignment  $X \mapsto K(X)$  defines a contravariant functor  $\text{Top} \rightarrow \text{Ring}$

$f \downarrow \mapsto \uparrow f^*$  w/  $f^*(E) = f^*E$  pullback bundle  
 $Y \mapsto K(Y)$

Prop.  $K$  factors through  $\text{HoTop}$ :  $f \simeq g \Rightarrow f^* \cong g^*$

Reduced K-theory: For  $x_0 \in X$ , inclusion  $x_0 \hookrightarrow X$  defines "dimension map"  $K(X) \xrightarrow{i^*} K(*) \cong \mathbb{Z}$

Defn.  $\tilde{K}(X) = \ker(K(X) \xrightarrow{i^*} K(x_0) \cong \mathbb{Z})$  0-diml virtual bundles

$\Rightarrow \tilde{K}(X)$  has ring structure and  $\tilde{K}: \text{KTop}_* \rightarrow \text{Ring}$  functor

Rmk.  $\tilde{K}(X) \cong \text{Vect}^{\text{iso}}(X) / \text{stable equivalence}$   $E \sim_s E' \iff \exists n, m \text{ s.t. } E \oplus \varepsilon^n \cong E' \oplus \varepsilon^m$

Rmk. for  $X$  unbased,  $K(X) = \tilde{K}(X_+)$   $\leftarrow X \sqcup *$

for  $X$  based,  $K(X) = \tilde{K}(X) \oplus \mathbb{Z}$

Prop.  $K(X) = [X_+, BU \times \mathbb{Z}]_*$  and  $\tilde{K}(X) = [X, BU \times \mathbb{Z}]_*$   $\leftarrow$  lets us extend to non-compact spaces

## BOTT PERIODICITY... version 1

Central idea: Define an external product  $\mu: K(X) \otimes K(Y) \rightarrow K(X \times Y)$   
 $x \otimes y \mapsto \pi_X^*(x) \cdot \pi_Y^*(y)$

Thm - There is an isomorphism  $\mu: K(X) \otimes K(S^2) \xrightarrow{\cong} K(X \times S^2)$

Pf idea / •  $H =$  canonical line bundle over  $\mathbb{C}P^1 = S^2$

- Show  $K(X) \otimes \mathbb{Z}[H]/(H-1)^2 \rightarrow K(X) \otimes K(S^2) \xrightarrow{\mu} K(X \times S^2)$  is isom (long)
- Take  $X = *$ , then  $\mathbb{Z}[H]/(H-1)^2 \xrightarrow{\cong} K(S^2)$

Reduced version: use LES for pair  $(X \times Y, X \vee Y)$  to get external product

Thm - If  $Y = S^2$ , then  $\tilde{\mu}$  isom.  
 $\tilde{\mu}: \tilde{K}(X) \otimes \tilde{K}(Y) \rightarrow \tilde{K}(X \wedge Y)$

Thm (B.P. v1) - There is an isomorphism  $\tilde{K}(X) \xrightarrow{\otimes (H-1)} \tilde{K}(X) \otimes \tilde{K}(S^2) \xrightarrow{\tilde{\mu}} \tilde{K}(X \wedge S^2) \cong \tilde{K}(\Sigma^2 X)$ .

- Pf idea /
- $\tilde{K}(S^2)$  is inf. cyclic w/ gen  $(H-1)$  "Bott element"
  - $\tilde{\mu}$  is isom
  - $X \wedge S^2 \cong \Sigma^2 X$

## BOTT PERIODICITY ... Version 2

Prop:  $\text{Vect}_{\mathbb{C}}^n(X) \leftrightarrow [X, \text{BU}(n)]$  for  $\text{BU}(n) = G_n(\mathbb{C}^{\infty})$  or classifying sp. of  $U(n)$

Can include  $U(n) \hookrightarrow U(n+1)$  and take colimit  $U := \text{colim}_n U(n)$ .

Note: Since  $U \simeq \text{S}^1 \text{BU}$ , can compute  $\pi_i(\text{BU}) \simeq \pi_{i-1}(\text{S}^1 \text{BU}) \simeq \pi_{i-1}(U)$

Thm (V2) - The htpy gps of  $U$  are 2-periodic:  $\pi_i(U) = \begin{cases} \mathbb{Z} & i \text{ odd} \\ 0 & i \text{ even} \end{cases}$

or there is a weak equiv  $\text{S}^2 \text{BU} \xrightarrow{\simeq} \text{BU} \times \mathbb{Z}$ .

Pf idea/ requires lots of background! Main idea:

- relate  $\pi_k$  of  $U(n)$ ,  $\text{SU}(n)$ , and  $G_n(\mathbb{C}^{2n})$  for  $n \gg k$
- use LES and Morse theory to show

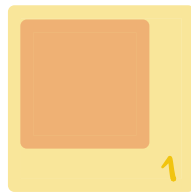
$$\pi_k(U) \simeq \pi_{k+1}(\text{BU}) \xrightarrow{\simeq} \pi_{k+1}(\text{SU}(2n)) \simeq \pi_{k+2}(U(n))$$

- Pf gives map  $\text{BU} \rightarrow \text{S}^2 \text{BU}$  realizing isom

$$\text{S}^2 \text{BU} \simeq \text{BU} \times \mathbb{Z}$$

$$\begin{aligned} v1 \Leftrightarrow v2: \tilde{K}(X) &= [X, \text{BU} \times \mathbb{Z}]_* \text{ and } \tilde{K}(\text{S}^2 X) = [\text{S}^2 X, \text{BU} \times \mathbb{Z}]_* \\ &= [X, \text{S}^2(\text{BU} \times \mathbb{Z})]_* \\ &= [X, \text{S}^2 \text{BU}]_* \end{aligned}$$

$$\text{By Yoneda, } \text{BU} \times \mathbb{Z} \simeq \text{S}^2 \text{BU} \Leftrightarrow [X, \text{BU} \times \mathbb{Z}]_* \simeq [X, \text{S}^2 \text{BU}]_* \Leftrightarrow \tilde{K}(X) \simeq \tilde{K}(\text{S}^2 X)$$



$U(n) \hookrightarrow U(n+1)$



# $\mathcal{K}$ - THEORY AS A GENERALIZED COHOMOLOGY THEORY

Defn - A reduced gen'lized cohom. theory  $\tilde{E}^*$  consists of contrav. functors  $\tilde{E}^n: \text{HoTop}_* \rightarrow \text{Ab}$  satisfying the following axioms:

1. Exactness: if  $A \rightarrow X$  cofibration, then  $\tilde{E}^n(X/A) \rightarrow \tilde{E}^n(X) \rightarrow \tilde{E}^n(A)$  exact
2. Suspension:  $\exists$  nat'l isom  $\tilde{E}^n(X) \cong \tilde{E}^{n+1}(\Sigma X)$
3. Additivity: if  $X = \bigvee X_i$  then  $X_i \hookrightarrow X$  induce isom  $\tilde{E}^n(X) \cong \prod \tilde{E}^n(X_i)$
4. Weak Equivalence: if  $X \xrightarrow{f} Y$  weak equiv then  $\tilde{E}^*(Y) \xrightarrow{f^*} \tilde{E}^*(X)$  isom

Define  $\tilde{K}^n(X) = \begin{cases} \tilde{K}(\Sigma^n X) & n \leq 0 \\ \tilde{K}^{n-2}(X) & n > 0 \end{cases} \stackrel{\text{B.P.}}{\cong} \begin{cases} \tilde{K}(X) & n \text{ even} \\ \tilde{K}(\Sigma X) & n \text{ odd} \end{cases}$

1. Hatcher Prop 2.9
2. n odd:  $\tilde{K}^{n+1}(\Sigma X) \cong \tilde{K}(\Sigma X) \cong \tilde{K}^n(X)$   
n even:  $\tilde{K}^{n+1}(\Sigma X) \cong \tilde{K}(\Sigma^2 X) \cong \tilde{K}(X) \cong \tilde{K}^n(X)$
3. follows from LES (p.53 of Hatcher)
4. follows from working w/ "nice" spaces

\*5. Dimension:  $\tilde{E}^n(S^0) = 0$  for  $n \neq 0$

X5.  $\tilde{K}(S^0) \neq 0$  infinitely often

Thus  $\tilde{K}^*$  is reduced gen'lized cohomology theory

Rmk.  $K$  extends to unreduced gen'lized cohomology theory

# $\mathcal{H}$ -THEORY AS A GENERALIZED COHOMOLOGY THEORY (cont.)

Thm. Every generalized cohom. theory corresponds to an  $\Omega$ -spectrum.

$$\begin{aligned} \mathcal{H} &= \{E_n\}_n \\ E_n &\xrightarrow{\cong} \Omega E_{n+1} \end{aligned}$$

Recall  $K(X) = [X_+, BU \times \mathbb{Z}]_*$

The topological K-theory spectrum is  $KU_n = \begin{cases} BU \times \mathbb{Z} & n \text{ even} \\ \Omega BU & n \text{ odd} \end{cases}$

This means  $K^n(X) = [X_+, KU_n]_*$

Application: Compute  $K^n(S^k)$

$$K^n(S^k) = [S^k_+, KU_n]_* = \pi_k(KU_n) = \begin{cases} \pi_k(BU \times \mathbb{Z}) & n \text{ even} \\ \pi_k(\Omega BU) & n \text{ odd} \end{cases}$$

$$\Rightarrow K^n(S^k) = \begin{cases} \mathbb{Z} & n \equiv k \pmod{2} \quad k \neq 0, \mathbb{Z} \oplus \mathbb{Z} \quad k=0 \\ 0 & n \not\equiv k \pmod{2} \end{cases}$$

## FINAL REMARKS ...

### SUMMARY:

- $K(X)$  is commutative ring formed from vector bundles over  $X$
- Also have reduced  $\tilde{K}(X) \simeq K(X) \otimes \mathbb{Z}$ . Both  $K, \tilde{K}$  are functors.
- Bott Periodicity extends  $K, \tilde{K}$  to generalized cohomology theory w/ corresponding  $\Omega$ -spectrum  $BU \times \mathbb{Z}$

### OTHER DIRECTIONS:

- Real  $K$ -theory + real Bott Periodicity
- Algebraic  $K$ -theory
- Equivariant  $K$ -theory
- + more ...



Thanks for listening!