## Scheming about Schemes

Ethan Soloway

May 2nd, 2024

## Motivating Problem

- Let's say we have two algebraic equations: $y=x^{2}$ and $x^{2}=0$


## Motivating Problem

- Let's say we have two algebraic equations: $y=x^{2}$ and $x^{2}=0$

■ Question: How many times do they intersect?

## Motivating Problem

- Let's say we have two algebraic equations: $y=x^{2}$ and $x^{2}=0$

■ Question: How many times do they intersect?


Figure: Graph of $y=x^{2}$ and $x^{2}=0$

## Attempt 1: Algebraic Variety Point of View

- Define two polynomial functions $f(x, y):=y-x^{2}$ and $g(x, y):=x^{2}$


## Attempt 1: Algebraic Variety Point of View

- Define two polynomial functions $f(x, y):=y-x^{2}$ and $g(x, y):=x^{2}$
- Consider their vanishing set, the set of points in the plane where both polynomials are equal to zero.


## Attempt 1: Algebraic Variety Point of View

- Define two polynomial functions $f(x, y):=y-x^{2}$ and $g(x, y):=x^{2}$
- Consider their vanishing set, the set of points in the plane where both polynomials are equal to zero.
- Formally,

$$
V(f, g):=\left\{\left(x_{0}, y_{0}\right) \in \mathbb{A}^{2} \mid y_{0}-x_{0}^{2}=x_{0}^{2}=0\right\}=\{(0,0)\}
$$

## Attempt 1: Algebraic Variety Point of View

- Define two polynomial functions $f(x, y):=y-x^{2}$ and $g(x, y):=x^{2}$
- Consider their vanishing set, the set of points in the plane where both polynomials are equal to zero.
- Formally,

$$
V(f, g):=\left\{\left(x_{0}, y_{0}\right) \in \mathbb{A}^{2} \mid y_{0}-x_{0}^{2}=x_{0}^{2}=0\right\}=\{(0,0)\}
$$

■ So $f$ and $g$ intersect at a single point!

## Missing the Big Picture

- If we instead consider $y-x^{2}=0$ and $x=0$, we would obtain the same points.


## Missing the Big Picture

- If we instead consider $y-x^{2}=0$ and $x=0$, we would obtain the same points.

■ We don't see multiplicity of intersection: Consider the curve $(x-t)(x+t)=0$. For $t \neq 0$, this intersect the parabola at two points.

## Missing the Big Picture

- If we instead consider $y-x^{2}=0$ and $x=0$, we would obtain the same points.

■ We don't see multiplicity of intersection: Consider the curve $(x-t)(x+t)=0$. For $t \neq 0$, this intersect the parabola at two points.

■ It's time to hatch a scheme!

## Abstraction

- We can endow any commutative ring with a geometric structure.


## Abstraction

- We can endow any commutative ring with a geometric structure.
- points $\rightsquigarrow$ prime ideals


## Abstraction

- We can endow any commutative ring with a geometric structure.
- points $\rightsquigarrow$ prime ideals
- functions $\rightsquigarrow$ ideals


## Abstraction

- We can endow any commutative ring with a geometric structure.
- points $\rightsquigarrow$ prime ideals
- functions $\rightsquigarrow$ ideals

■ vanishing $\rightsquigarrow$ containment

## Affine Schemes (Topology)

■ Let $R$ be a commutative ring. Then the spectrum of $R$, denoted $\operatorname{Spec}(R)$, is the set of all prime ideals $\mathfrak{p}$ in $R$, our points.

## Affine Schemes (Topology)

■ Let $R$ be a commutative ring. Then the spectrum of $R$, denoted $\operatorname{Spec}(R)$, is the set of all prime ideals $\mathfrak{p}$ in $R$, our points.

■ For any ideal $I \subset R$, we define

$$
V(I):=\{\mathfrak{p} \in \operatorname{Spec}(R) \mid I \subset \mathfrak{p}\}
$$

## Affine Schemes (Topology)

$■$ Let $R$ be a commutative ring. Then the spectrum of $R$, denoted $\operatorname{Spec}(R)$, is the set of all prime ideals $\mathfrak{p}$ in $R$, our points.

■ For any ideal $I \subset R$, we define

$$
V(I):=\{\mathfrak{p} \in \operatorname{Spec}(R) \mid I \subset \mathfrak{p}\}
$$

- We can now define a topology on $\operatorname{Spec}(R)$ where $V(I)$ are our closed sets. This is the Zariski Topology on $\operatorname{Spec}(R)$.


## Zariski Topology (Example)

■ Let $R=k[x]$ for some algebraically closed field $k$. Then, $\operatorname{Spec}(R)=\{(x-\alpha) \mid \alpha \in k\}$.

## Zariski Topology (Example)

■ Let $R=k[x]$ for some algebraically closed field $k$. Then, $\operatorname{Spec}(R)=\{(x-\alpha) \mid \alpha \in k\}$.

- Since $k[x]$ is a PID, any ideal is of the form $I=(f(x))$ for some fixed polynomial $f . V(I)$ is just the set of linear factors of $f$, which is finite.


## Zariski Topology (Example)

■ Let $R=k[x]$ for some algebraically closed field $k$. Then, $\operatorname{Spec}(R)=\{(x-\alpha) \mid \alpha \in k\}$.

- Since $k[x]$ is a PID, any ideal is of the form $I=(f(x))$ for some fixed polynomial $f . V(I)$ is just the set of linear factors of $f$, which is finite.

■ Therefore, any closed set in $\operatorname{Spec}(R)$ is a finite set of points or all of $\operatorname{Spec}(R)$.

Zariski Topology (Example)


Figure: The Zariski Topology of $\mathbb{R}[x]$

## Further Abstraction

- For many geometric spaces, we want to consider the functions defined locally on the space.

■ E.g. Smooth Manifolds- for each open subset $U$, we consider the differentiable functions defined there.

## Affine Schemes (Sheaf)

■ For an open subset $U \subset \operatorname{Spec}(R)$, we want to say what "functions" are well-defined there.

## Affine Schemes (Sheaf)

■ For an open subset $U \subset \operatorname{Spec}(R)$, we want to say what "functions" are well-defined there.

■ A pre-sheaf on a topological space $X$ is an assignment for each open set $U$, a set $\mathcal{F}(U)$ of "functions," together with restriction maps. For any open subset $V \subset U$, a map $\mathcal{F}(U) \rightarrow \mathcal{F}(V)$, compatible with composition.

## Affine Schemes (Sheaf)

■ For an open subset $U \subset \operatorname{Spec}(R)$, we want to say what "functions" are well-defined there.

■ A pre-sheaf on a topological space $X$ is an assignment for each open set $U$, a set $\mathcal{F}(U)$ of "functions," together with restriction maps. For any open subset $V \subset U$, a map $\mathcal{F}(U) \rightarrow \mathcal{F}(V)$, compatible with composition.

- A sheaf satisfies further properties consistent with our "functional" intuition.


## Sheaf Example

■ Let's return to $R=k[x]$ and $X=\operatorname{Spec}(R)$. We can endow it with a sheaf called the structure sheaf $\mathcal{O}_{X}$, of algebraic functions.

## Sheaf Example

■ Let's return to $R=k[x]$ and $X=\operatorname{Spec}(R)$. We can endow it with a sheaf called the structure sheaf $\mathcal{O}_{X}$, of algebraic functions.

■ For $U=X$, the algebraic functions that make sense on $U$ are polynomials, i.e. elements $h \in k[x]$. Thus, $\mathcal{O}_{X}(X)=k[x]$.

## Sheaf Example

■ Let's return to $R=k[x]$ and $X=\operatorname{Spec}(R)$. We can endow it with a sheaf called the structure sheaf $\mathcal{O}_{X}$, of algebraic functions.

■ For $U=X$, the algebraic functions that make sense on $U$ are polynomials, i.e. elements $h \in k[x]$. Thus, $\mathcal{O}_{X}(X)=k[x]$.

■ Let $g(x):=x-\alpha$ and $U=X \backslash V(g)$, i.e. all points besides $x-\alpha$. Functions that are well defined here are of the form

$$
\frac{f(x)}{(x-\alpha)^{n}}
$$

for $f \in k[x]$ and $n$ a natural number. Formally, we have $\mathcal{O}_{X}(U)=k[x]_{g}$.

## Scheme Definition

- An affine scheme is a topological space which is isomorphic to $\operatorname{Spec}(R)$ for some ring $R$, along with the corresponding structure sheaf $\mathcal{O}_{X}$.


## Scheme Definition

- An affine scheme is a topological space which is isomorphic to $\operatorname{Spec}(R)$ for some ring $R$, along with the corresponding structure sheaf $\mathcal{O}_{X}$.
- A scheme is a topological space which has an open covering of affine schemes.


## Returning to the Original Problem

■ As a scheme, we can define the intersection of our two curves $y=x^{2}$ and $x^{2}=0$ as $R^{\prime}:=k[x, y] /\left(y-x^{2}, x^{2}\right)$.

## Returning to the Original Problem

■ As a scheme, we can define the intersection of our two curves $y=x^{2}$ and $x^{2}=0$ as $R^{\prime}:=k[x, y] /\left(y-x^{2}, x^{2}\right)$.

- $R^{\prime}$ is isomorphic to $k[x] / x^{2}$. To count the multiplicity, we find the dimension of $R^{\prime}$ as a $k$ vector space, which is 2 .


## Returning to the Original Problem

■ As a scheme, we can define the intersection of our two curves $y=x^{2}$ and $x^{2}=0$ as $R^{\prime}:=k[x, y] /\left(y-x^{2}, x^{2}\right)$.

- $R^{\prime}$ is isomorphic to $k[x] / x^{2}$. To count the multiplicity, we find the dimension of $R^{\prime}$ as a $k$ vector space, which is 2 .
- Therefore the scheme theoretic language captures this multiplicity, and much more!

